

Forum of understanding on Nanomaterials and their interdisciplinary applications, Warszawa 2016 **Rigorous analysis of many-electron effects in nanosystems:** Quantum dot - ring nanostructure



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MOTIVATION

Quantum dot (QD) – nanoring (QR) systems (DRN) exhibit an interesting set of features. Namely, there are theoretical predictions, that such a structure will be susceptible to the so-called *wave*function engineering, allowing to control the transport properties of the system, as well as the optical absorption, with the quantum dot potential depth [1,2]. The natural consequence if to study system's features for $N_e > 1$ electrons.

Our aim is to

- explicitly calculate the Coulomb interaction terms;
- calculate the many-electron states;
- describe the energy spectrum and eventual degeneracy of ground- and first-excited-states;
- calculate the probability of finding an electron in quantum

SINGLE-PARTICLE PROBLEM



Solution of single-particle problem

$$\left(\frac{\mathbf{p}^2}{2m^*} + V(\mathbf{r})\right)\psi_{nl}(\mathbf{r}) = \epsilon_{nl}\psi_{nl}(\mathbf{r}),$$

with potential $V(\mathbf{r})$ as shown on the left side, are the wavefunctions [1,2]

$\psi_{nl}(\mathbf{r}) = R_{nl}(r)exp(il\phi).$

For the degenerate case $\epsilon_{nl} = \epsilon_{n\bar{l}}$ and $R_{nl}(r) =$ $R_{n\bar{l}}(r)$ we can write the real solutions

 $\varphi_{nl}(\mathbf{r}) = \frac{\psi_{n|l|}(\mathbf{r}) + sgn(l)\psi_{n|\bar{l}|}(\mathbf{r})}{1}$



Quantum dot - ring nanostructure - shape of the potential



METHOD

We start the many-electron description with defining the field operators

$$\hat{\Psi}_{\sigma}(\mathbf{r}) = \sum_{i=1;\sigma=\pm 1}^{M} \varphi_{i\sigma}(\mathbf{r}) \hat{c}_{i\sigma}; \quad \hat{\Psi}_{\sigma}^{\dagger}(\mathbf{r}) \equiv \left(\hat{\Psi}_{\sigma}(\mathbf{r})\right)^{\dagger},$$

where $\{\varphi_{i\sigma}\}$ is an orthonormal basis of single-particle wavefunctions, and $\hat{c}_{i\sigma}$ $(\hat{c}_{i\sigma}^{\dagger})$ are annihilation (creation) operators of electron with spin σ on *i* orbital.

The Hamiltonian now reads

$$\mathcal{H} \equiv \sum_{\sigma} \int d^3 r \hat{\Psi}_{\sigma}^{\dagger}(\mathbf{r}) \mathcal{H}_1 \hat{\Psi}_{\sigma}(\mathbf{r}) + \frac{1}{2} \sum_{\sigma\sigma'} \int \int d^3 r d^3 r' \hat{\Psi}_{\sigma}^{\dagger}(\mathbf{r}) \hat{\Psi}_{\sigma'}^{\dagger}(\mathbf{r}') V(\mathbf{r} - \mathbf{r}') \hat{\Psi}_{\sigma'}(\mathbf{r}') \hat{\Psi}_{\sigma}(\mathbf{r}) = \sum_{ij} \sum_{\sigma} t_{ij} \hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma} + \frac{1}{2} \sum_{ijkl} \sum_{\sigma,\sigma'} V_{ijkl} \hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma'}^{\dagger} \hat{c}_{l\sigma'} \hat{c}_{k\sigma},$$

where

$$t_{ij} = \int d^3 r \varphi_i^*(\mathbf{r}) \mathcal{H}_1 \varphi_j(\mathbf{r}),$$
$$V_{ijkl} = \iint d^3 r d^3 r' \varphi_i^*(\mathbf{r}) \varphi_j^*(\mathbf{r}') \frac{e^2}{\varepsilon |\mathbf{r} - \mathbf{r}'|} \varphi_l(\mathbf{r}') \varphi_k(\mathbf{r}).$$

Hamiltonian is diagonalized for set, *large enough*, finite basis of single-particle wavefunctions, setting microscopic parameters t_{ij} , V_{ijkl} (see Microscopic parameters).

As we selected the single-particle basis (see *Single-particle basis*) to be the lowest-energy eigenstates of single-particle Hamiltonian, a condition holds

SINGLE-PARTICLE BASIS



Selected singe-particle wavefunctions in real basis $\{\varphi_i\}$ for quantum-dot potential depth $V_{\rm QD} = 0meV$.

OUANTUM METALLIZATION TOOLS

Similar behavior is present for the first-excited state.



Calculation where carried out using the QMT library, suitable for similar problem

MICROSCOPIC PARAMETERS



Evolution of selected microscopic parameters: Hubbard on-site repulsion $U_i \equiv V_{iiii}$, interstate repulsion $K_{ij} \equiv V_{ijij}$, exchange integral $J_{ij} \equiv V_{ijji}$, and multistate parameters $V_{[ijkl]}$.

All integrals (with M = 10 single-particle wavefunctions -10000) are calculated by means of Monte Carlo method with CUBA library [4], with accuracy of 0.005 meV. Rapid changes of parameters value around $V_{\text{QD}} = -2meV$ and $V_{\text{QD}} = 3meV$ are correlated to energy level crossing and repulsion.

$t_{ij} = \epsilon_i \delta_{ij},$

where ϵ_i is a single-particle energy, and δ_{ij} Kronecker delta.

RESULTS: 2 I 3 ELECTRONS





2 electrons

We observe evolution of many-particle state from the dotoriented electrons for low values of $V_{\rm QD}$, to the ring-oriented electrons for larger values of V_{QD} .



Quantum Metallization Tools

https://bitbucket.org/azja/qmt

solutions [3].

DEGENERACY

Degrees of degeneracy for different QD potential, for $N_e = 2, 3$.								
Ŭ	2 electrons				3 electrons			
	groun	nd state	first-excited state		ground state		first-excited state	
$V_{\rm QD}~({ m meV})$	deg.	S_{tot}	deg.	S_{tot}	deg.	S_{tot}	deg.	Stot
-6	1	0	3×2	1	2×3	1/2	2×2	1/2
-5	1	0	3 imes 2	1	2×3	1/2	2×2	1/2
-4	1	0	3	1	2×3	1/2	4×2	3/2
-3	1	0	3	1	2×3	1/2	4×2	3/2
-2	1	0	3	1	2×3	1/2	4×2	3/2
-1	1	0	3	1	2×3	1/2	4×2	3/2
0	1	0	3	1	2×3	1/2	4×2	3/2
1	1	0	3	1	2×3	1/2	4×2	3/2
2	1	0	3×2	1	4	3/2	2×2	1/2
3	1	0	3×2	1	4	3/2	2×2	1/2
4	1	0	3×2	1	4	3/2	2×2	1/2
5	1	0	3×2	1	4	3/2	2×2	1/2
6	1	0	3×2	1	4	3/2	2×2	1/2

INFLUENCE OF MANY-STATE PARAMETERS

In presented method we are able to turn on and off selected interaction parameters to study their importance.



For three electrons we observe evolution from mixed state, where two electrons are in dot, whereas third one in the ring, to the ring-oriented electrons.

Density profiles (a), b)) and state occupancies (c)) for $V_{\text{QD}} = -6meV$, 4meV

3 electrons



Density profiles (a), b)) and state occupancies (c)) for $V_{\rm QD} = -6meV$



Density profiles (a), b)) and state occupancies (c)) for $V_{\text{QD}} = -4meV$, -2meV, 2meV, 4meV

Portion of elementary charge in quantum dot (QD) and nanoring (QR) versus dot potential V_{QD} for 2 (L) i 3 (R) electrons.

-2 0 -50 V_{QD} (meV) 2 4 6¹⁵⁰ V_{QD} (meV) 2 4 6¹⁵⁰

Evolution of electron density profile versus the QD potential $V_{\rm QD}$ (L) and the difference in density profiles for the three- and four-state interaction parameters turned off (R).

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