



Rigorous analysis of many-electron effects in nanosystems: Quantum dot - ring nanostructure

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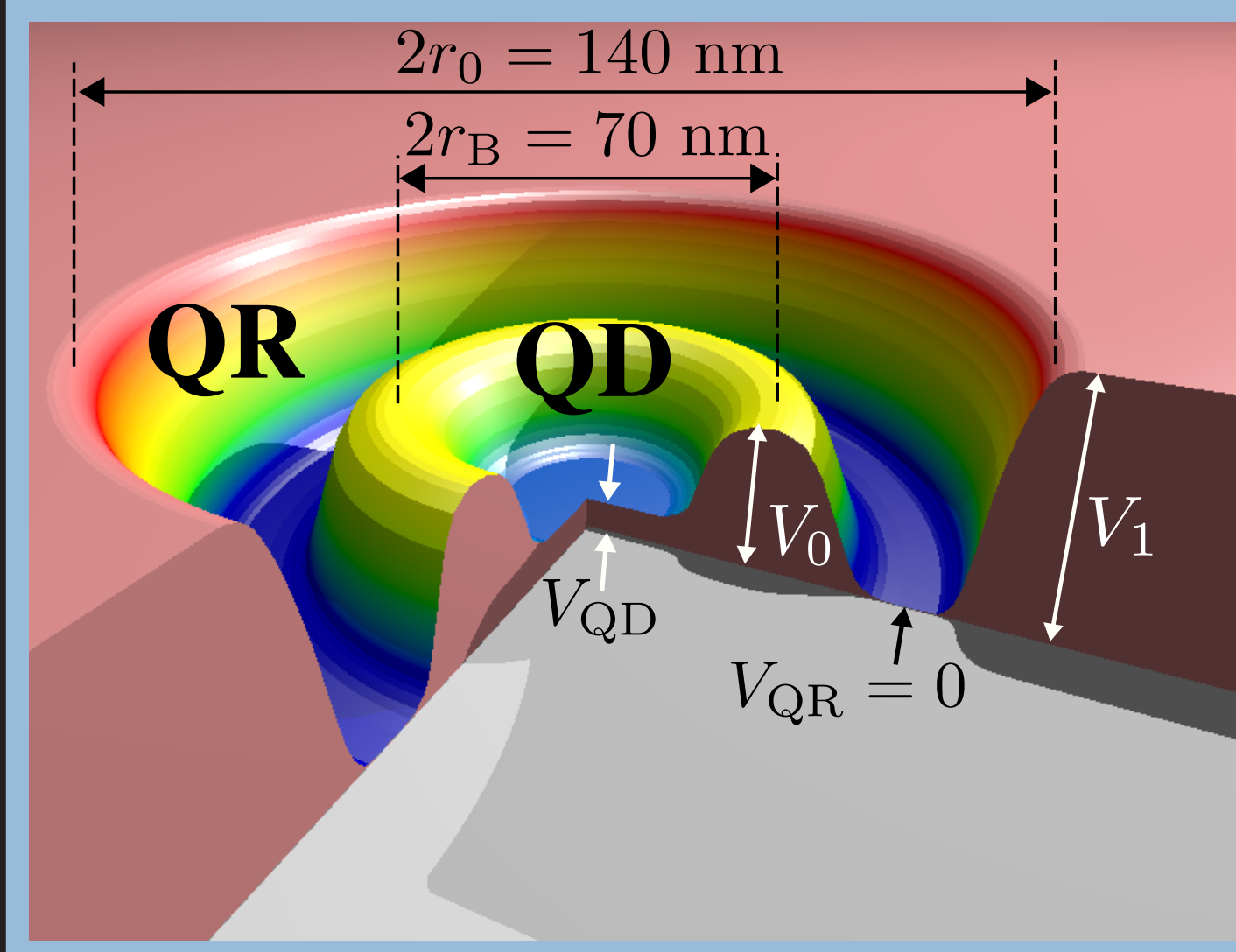
MOTIVATION

Quantum dot (QD) – nanoring (QR) systems (DRN) exhibit an interesting set of features. Namely, there are theoretical predictions, that such a structure will be susceptible to the so-called *wavefunction engineering*, allowing to control the transport properties of the system, as well as the optical absorption, with the quantum dot potential depth [1,2]. The natural consequence is to study the system for $N_e > 1$ electrons.

Our aim is to

- calculate the Coulomb-interaction parameters;
- determine the many-electron states;
- describe the energy spectrum and effective degeneracy of ground- and first-excited-states;
- calculate the probability of finding an electron in the quantum dot and the nanoring.

SINGLE-PARTICLE PROBLEM



Quantum dot - ring nanostructure - shape of the potential

Solution of single-particle problem

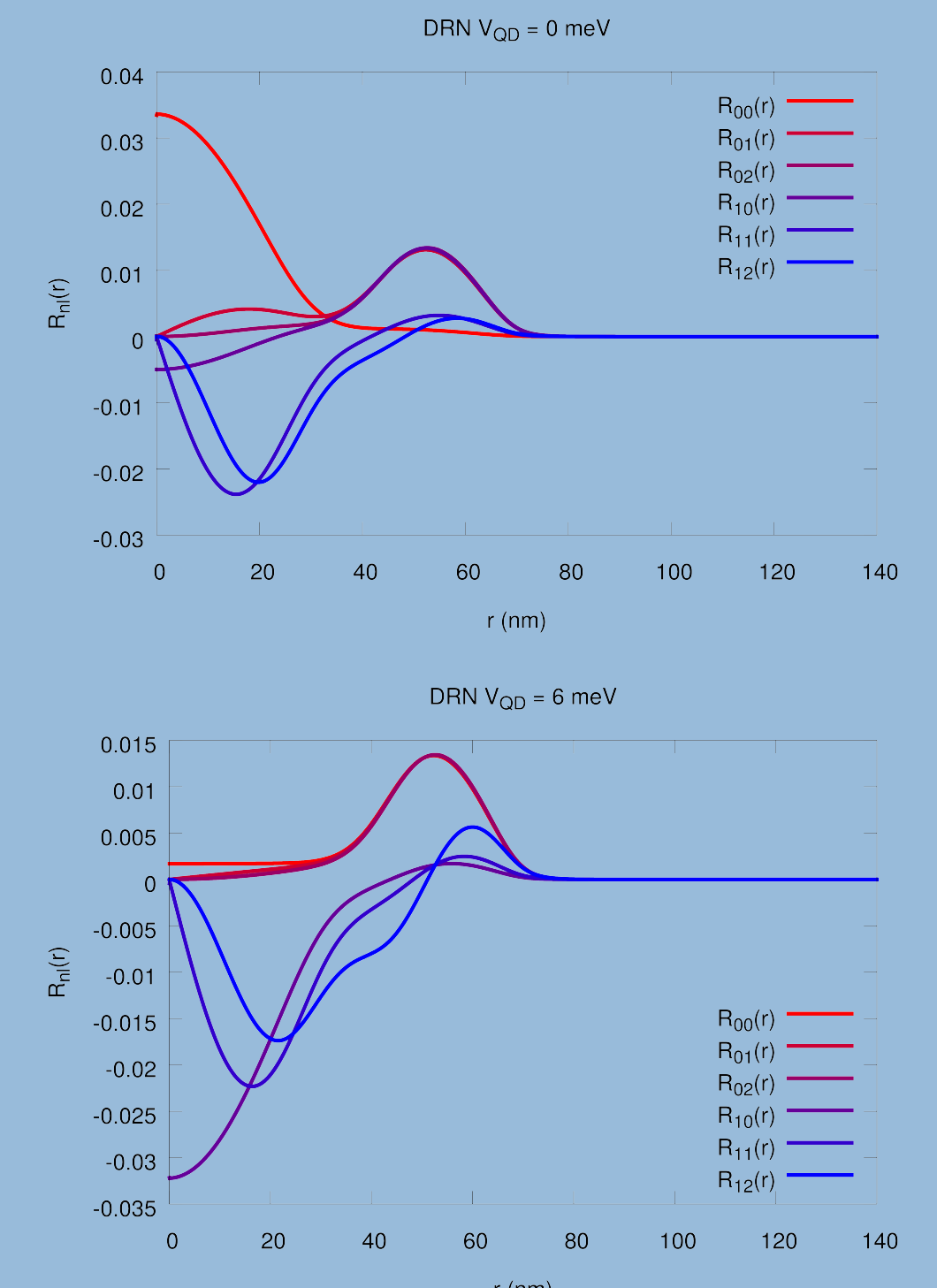
$$\left(\frac{\mathbf{p}^2}{2m^*} + V(\mathbf{r})\right)\psi_{nl}(\mathbf{r}) = \epsilon_{nl}\psi_{nl}(\mathbf{r}),$$

with potential $V(\mathbf{r})$ as shown on the left, are the wavefunctions [1,2]

$$\psi_{nl}(\mathbf{r}) = R_{nl}(r)\exp(il\phi).$$

For the degenerate case $\epsilon_{nl} = \epsilon_{n\bar{l}}$ and $R_{nl}(r) = R_{n\bar{l}}(r)$ we can write the real solutions

$$\varphi_{nl}(\mathbf{r}) = \frac{\psi_{n|l}(\mathbf{r}) + \text{sgn}(l)\psi_{n|-l}(\mathbf{r})}{\sqrt{2\text{sgn}(l)}}.$$



METHOD

We start the many-electron description with defining the field operators

$$\hat{\Psi}_\sigma(\mathbf{r}) = \sum_{i=1;\sigma=\pm 1}^M \varphi_{i\sigma}(\mathbf{r})\hat{c}_{i\sigma}; \quad \hat{\Psi}_\sigma^\dagger(\mathbf{r}) \equiv (\hat{\Psi}_\sigma(\mathbf{r}))^\dagger,$$

where $\{\varphi_{i\sigma}\}$ is an orthonormal basis of single-particle wavefunctions, and $\hat{c}_{i\sigma}$ ($\hat{c}_{i\sigma}^\dagger$) are annihilation (creation) operators of electron with spin σ on i -th orbital.

The Hamiltonian now reads

$$\begin{aligned} \mathcal{H} &\equiv \sum_\sigma \int d^3r \hat{\Psi}_\sigma^\dagger(\mathbf{r}) \mathcal{H}_1 \hat{\Psi}_\sigma(\mathbf{r}) \\ &+ \frac{1}{2} \sum_{\sigma\sigma'} \iint d^3r d^3r' \hat{\Psi}_\sigma^\dagger(\mathbf{r}) \hat{\Psi}_{\sigma'}^\dagger(\mathbf{r}') V(\mathbf{r}-\mathbf{r}') \hat{\Psi}_{\sigma'}(\mathbf{r}') \hat{\Psi}_\sigma(\mathbf{r}) \\ &= \sum_{ij} \sum_\sigma t_{ij} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \frac{1}{2} \sum_{ijkl} \sum_{\sigma\sigma'} V_{ijkl} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma'}^\dagger \hat{c}_{l\sigma'} \hat{c}_{k\sigma}, \end{aligned}$$

where

$$t_{ij} = \int d^3r \varphi_i^*(\mathbf{r}) \mathcal{H}_1 \varphi_j(\mathbf{r}),$$

$$V_{ijkl} = \iint d^3r d^3r' \varphi_i^*(\mathbf{r}) \varphi_j^*(\mathbf{r}') \frac{e^2}{|\mathbf{r}-\mathbf{r}'|} \varphi_l(\mathbf{r}') \varphi_k(\mathbf{r}).$$

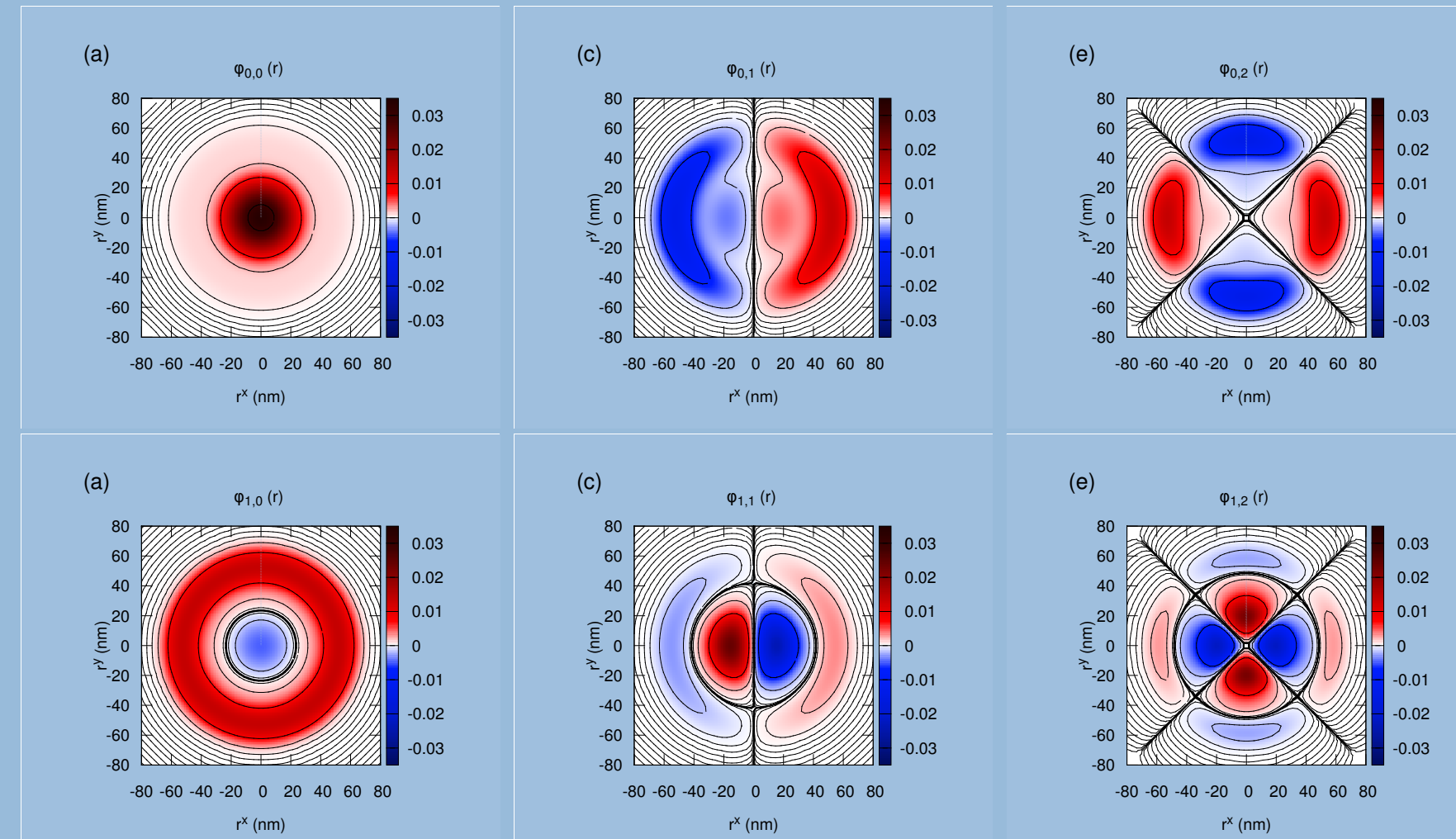
Hamiltonian is diagonalized for set, *rich enough*, finite basis of single-particle wavefunctions, setting microscopic parameters t_{ij} , V_{ijkl} (see *Microscopic parameters*).

As we selected the single-particle basis (see *Single-particle basis*) to be the lowest-energy eigenstates of single-particle Hamiltonian, we have

$$t_{ij} = \epsilon_i \delta_{ij},$$

where ϵ_i is a single-particle energy, and δ_{ij} Kronecker delta.

SINGLE-PARTICLE BASIS



Selected single-particle wavefunctions in real number basis $\{\varphi_i\}$ for the quantum-dot potential depth $V_{QD} = 0$.

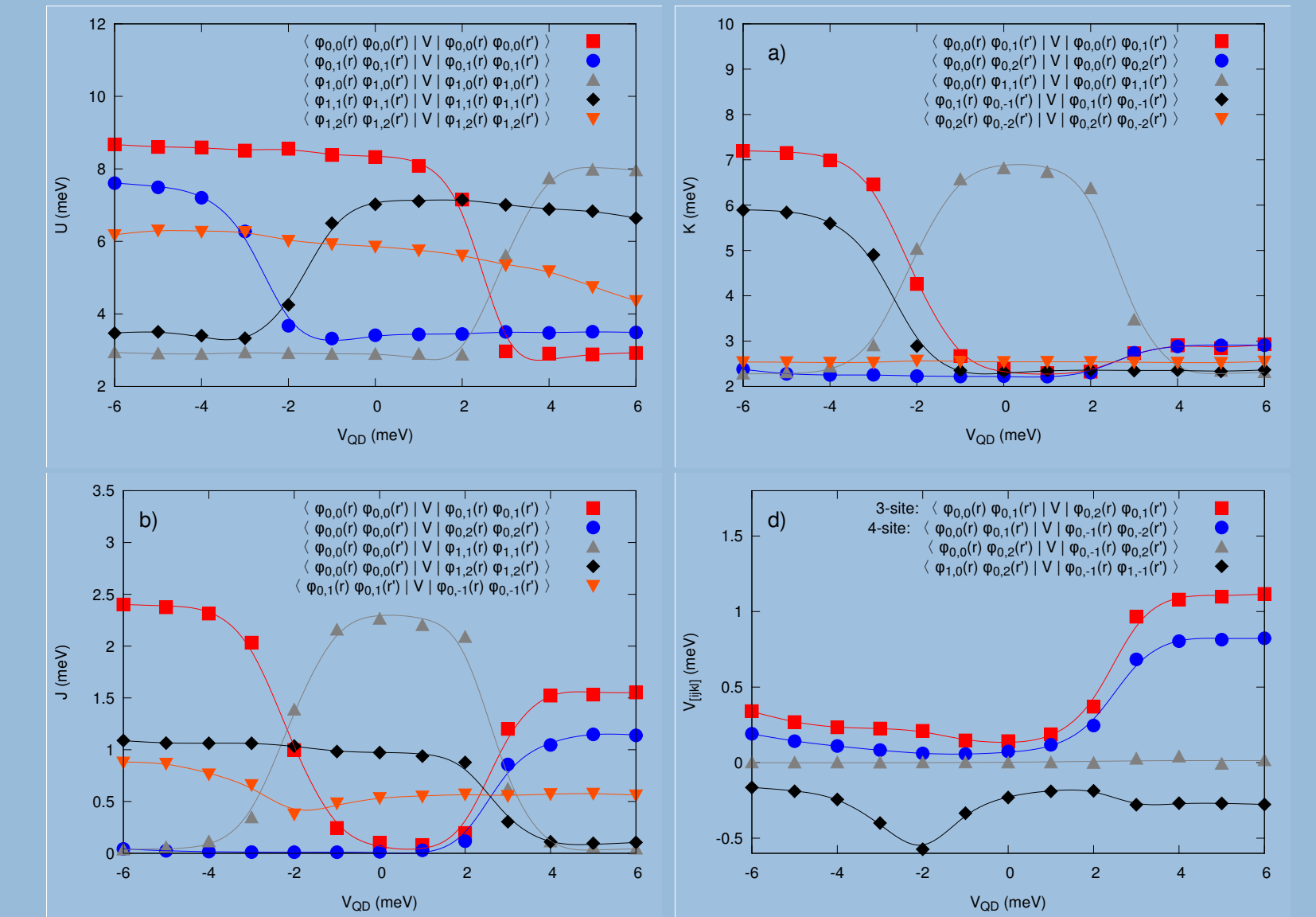
QUANTUM METALLIZATION TOOLS



<https://bitbucket.org/azja/qmt>

Calculation were carried out using the QMT library, suitable for similar problem solutions [3].

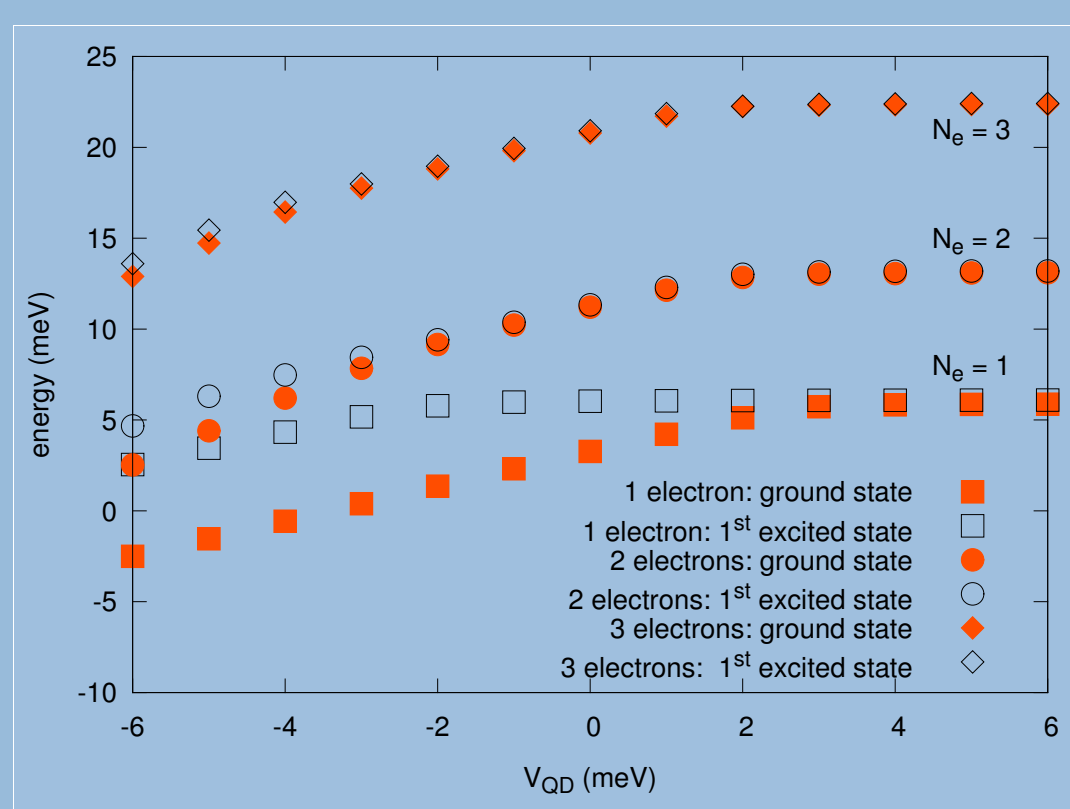
MICROSCOPIC PARAMETERS



Evolution of selected microscopic parameters vs V_{QD} : Hubbard on-site repulsion $U_i \equiv V_{iii}$, interstate repulsion $K_{ij} \equiv V_{ijij}$, exchange integral $J_{ij} \equiv V_{ijji}$, and multistate parameters V_{ijkl} .

All integrals (10 000 for $M = 10$ single-particle wavefunctions) are calculated by means of the Monte Carlo method with CUBA library [4], and accuracy of 0.005 meV. Rapid changes of parameter values for $V_{QD} = -2\text{meV}$ and $V_{QD} = 3\text{meV}$ are related to the energy-level crossing and their repulsion.

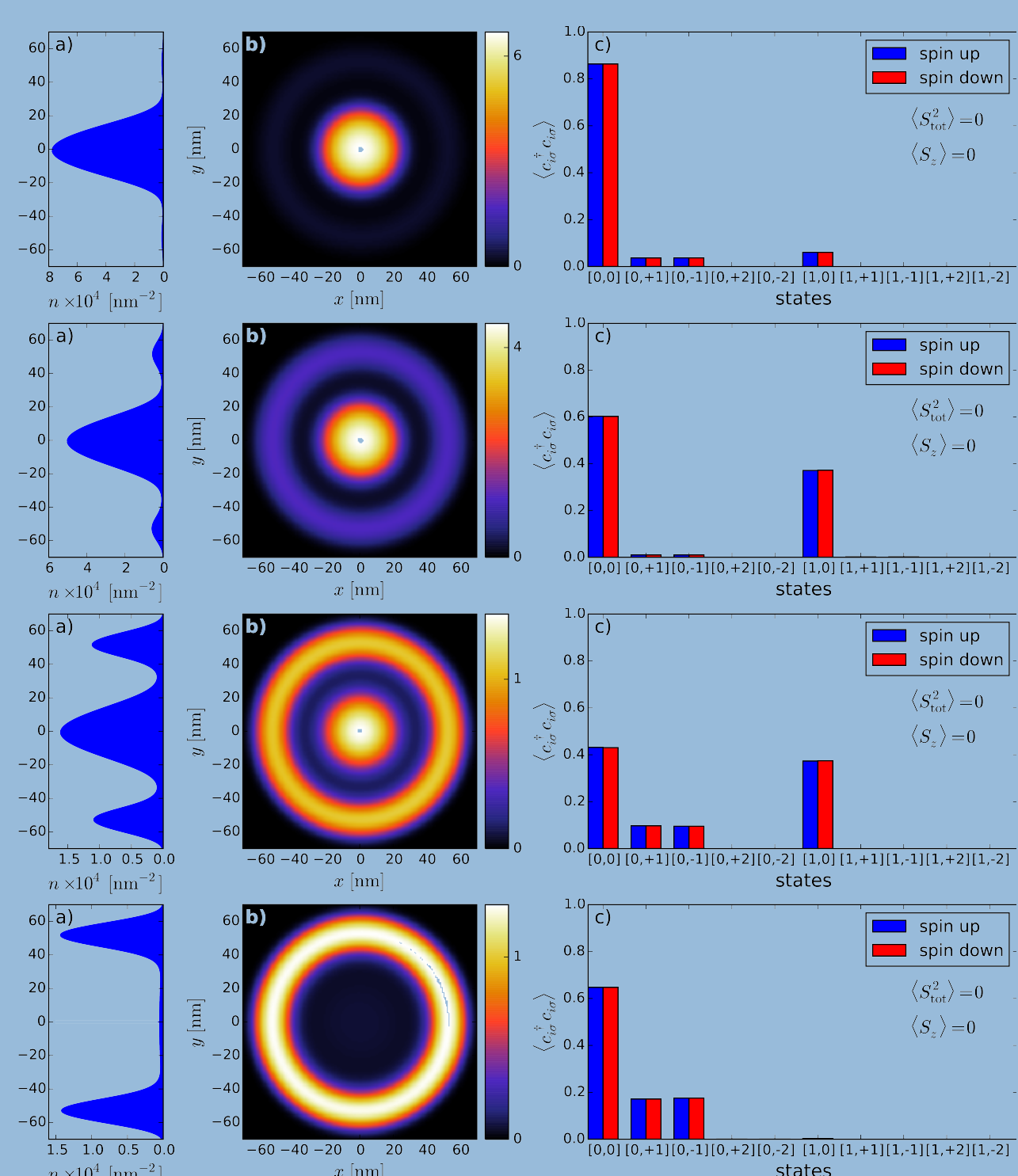
RESULTS: 2 AND 3 ELECTRONS



Ground- and first-excited states energies for $N_e = 1, 2$, and 3.

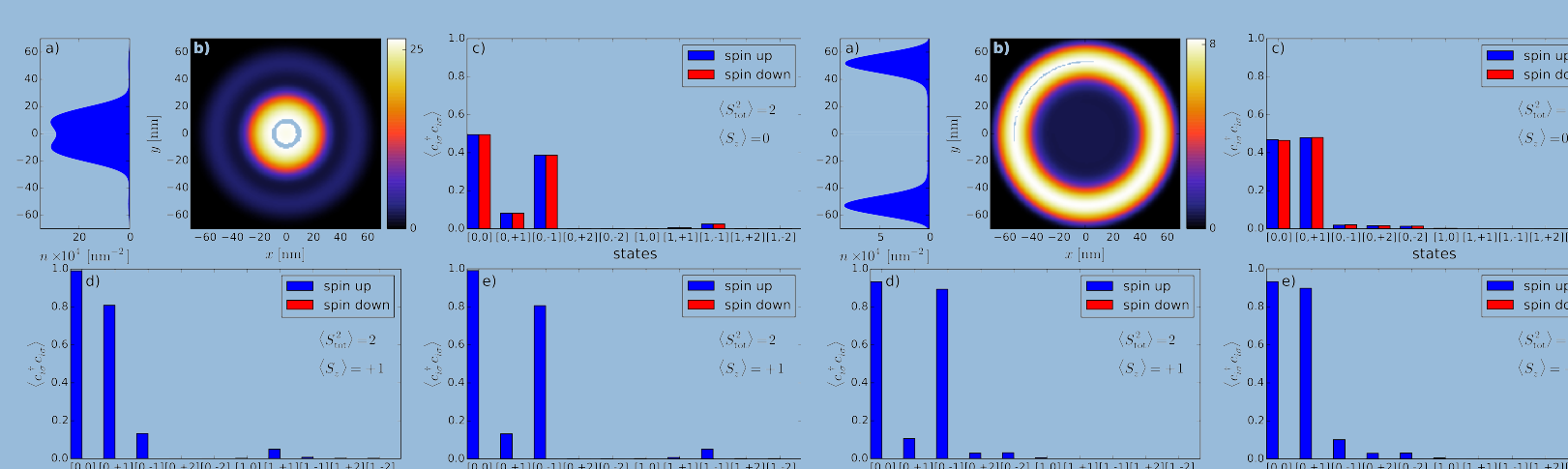
2 electrons

We observe evolution of many-particle state from the dot-located electrons for low values of V_{QD} , to the ring-located electrons for larger values of V_{QD} .



Density profiles (a, b) and state occupancies (c) for $V_{QD} = -4\text{meV}, -2\text{meV}, 2\text{meV}, 4\text{meV}$

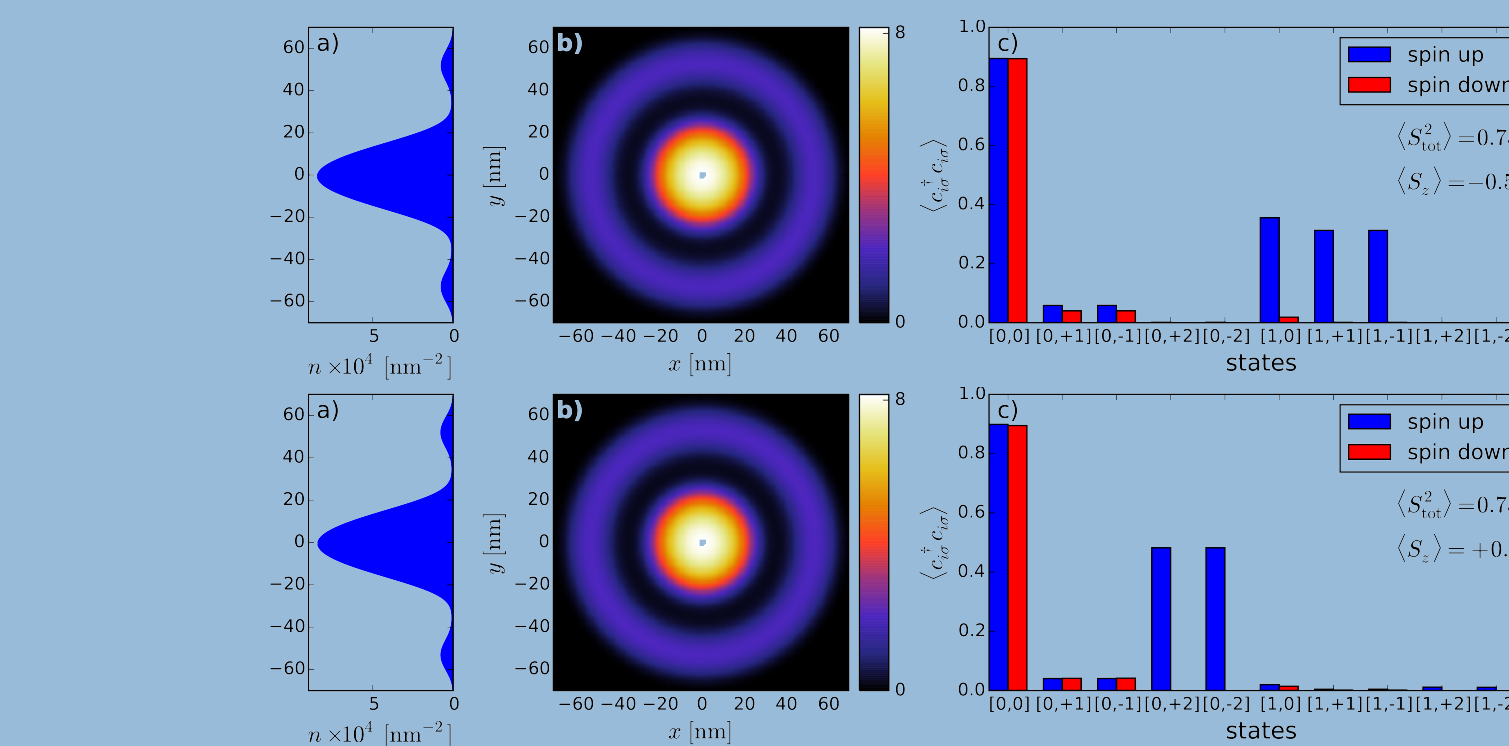
Similar behavior takes place for the first-excited state.



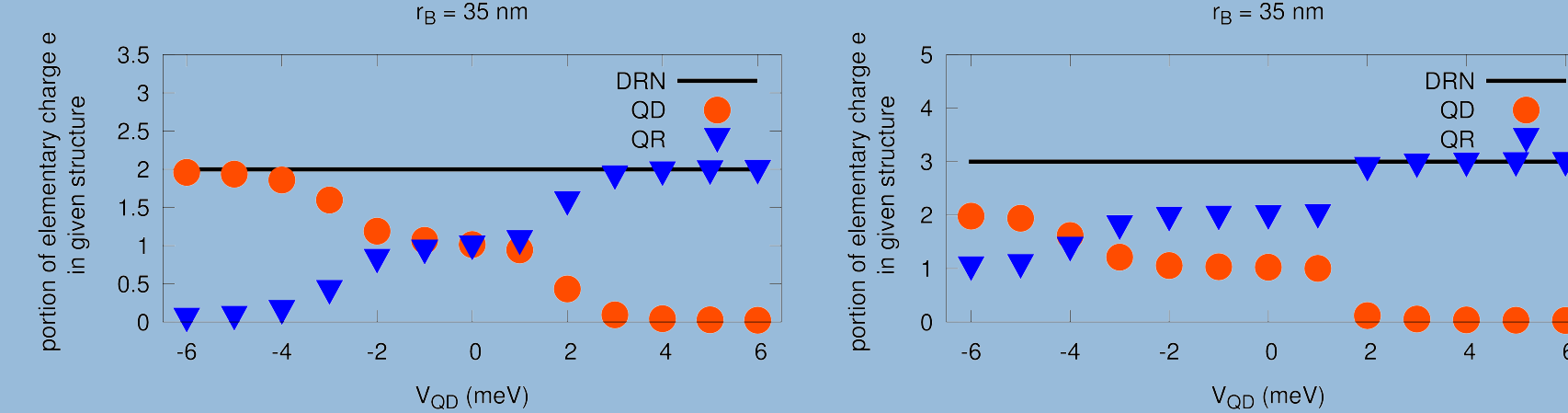
Density profiles (a, b) and state occupancies (c) for $V_{QD} = -6\text{meV}, 4\text{meV}$

3 electrons

For the case of three electrons we observe an evolution from superposed state, where two electrons are in the dot, whereas third one in the ring, to the ring-located all electrons.



Density profiles (a, b) and state occupancies (c) for $V_{QD} = -6\text{meV}$



Portion of elementary charge in quantum dot (QD) and nanoring (QR) versus dot potential V_{QD} for 2 (left) | 3 (right) electrons.

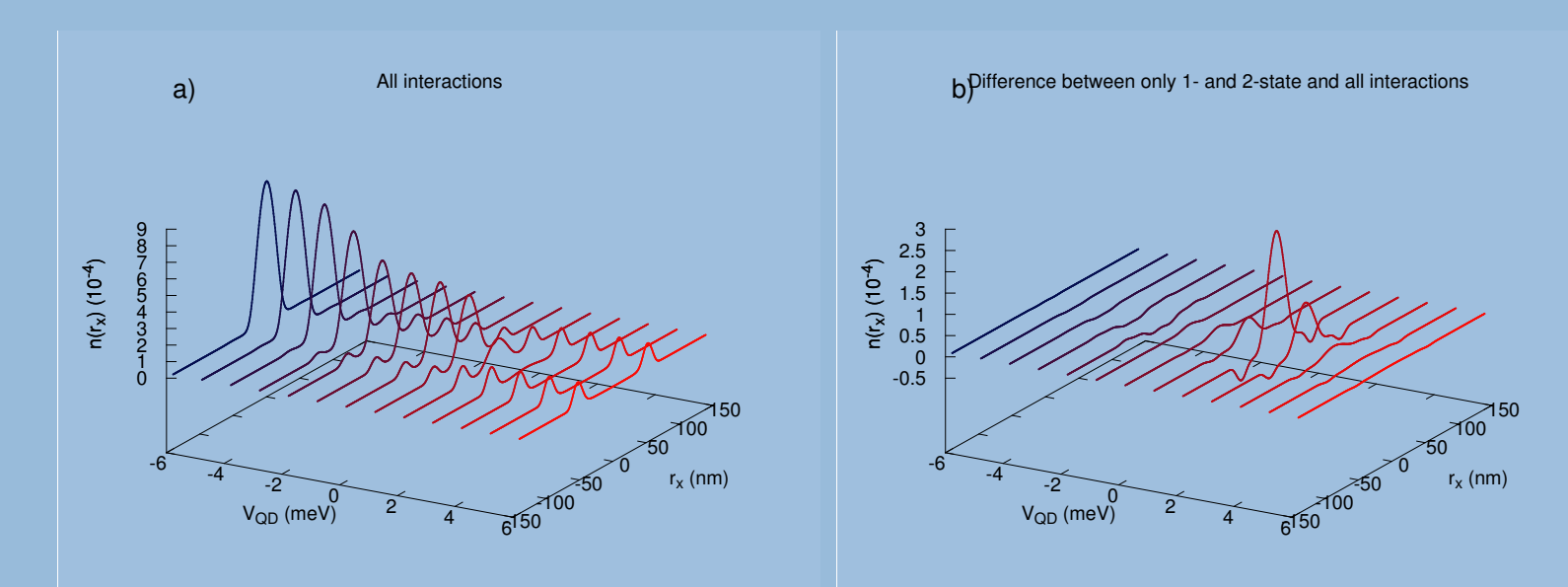
DEGENERACY

State multiplicity for different QD potential, for $N_e = 2, 3$.

V_{QD} (meV)	2 electrons				3 electrons			
	ground state deg.	S_{tot}	first-excited state deg.	S_{tot}	ground state deg.	S_{tot}	first-excited state deg.	S_{tot}
-6	1	0	3×2	1	2×3	$1/2$	2×2	$1/2$
-5	1	0	3×2	1	2×3	$1/2$	2×2	$1/2$
-4	1	0	3	1	2×3	$1/2$	4×2	$3/2$
-3	1	0	3	1	2×3	$1/2$	4×2	$3/2$
-2	1	0	3	1	2×3	$1/2$	4×2	$3/2$
-1	1	0	3	1	2×3	$1/2$	4×2	$3/2$
0	1	0	3	1	2×3	$1/2$	4×2	$3/2$
1	1	0	3	1	2×3	$1/2$	4×2	$3/2$
2	1	0	3×2	1	4	$3/2$	2×2	$1/2$
3	1	0	3×2	1	4	$3/2$	2×2	$1/2$
4	1	0	3×2	1	4	$3/2$	2×2	$1/2$
5	1	0	3×2	1	4	$3/2$	2×2	$1/2$
6	1	0	3×2	1	4	$3/2$	2×2	$1/2$

ROLE OF MANY-STATE PARAMETERS

In our approach we are able to include and neglect selected interaction channels to study their relative importance.



Evolution of electron density profile versus the QD potential V_{QD} (left) and the difference in the density profiles for the three- and four-state interaction parameters neglected (right).

ACKNOWLEDGMENTS

APK, AB, and JS were supported by the National Science Centre (NCN) through Grant MAESTRO, No. DEC-2012/04/A/ST3/00342, whereas AG-G, EZ, and MMM by Grant No. DEC-2013/11/B/ST3/00824.



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